

17364 U.S.P.T.O.
021704

031356 U.S.P.T.O.
10/781225



UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 6601.P018

(maximum 12 characters)

First Named Inventor: Andrew P. Nguyen

Title: A LIQUID TRAP FOR A VACUUM LINE

Express Mail Label No.: EV 409 362 630 US

ADDRESS TO: Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original and a duplicate for fee processing)
2. **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. **Specification (Total Pages 23 (including title page))**
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table,
or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. **Drawings(s) (35 USC 113) (Total Sheets 5)**
5. **Oath or Declaration (Total Pages 4)**
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 18 completed)
 - i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting
inventor(s) named in the prior application, see 37 CFR 1.63(d)(2)
and 1.33(b).
 - c. Unsigned.
6. **Application Data Sheet. (37 CFR 1.76)**
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. _____ **Assignment Papers (cover sheet & documents(s))**
 10. _____ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
 _____ b. Power of Attorney
 11. _____ English Translation Document (if applicable)
 12. _____ a. Information Disclosure Statement (IDS)/PTO-1449 (or PTO/SB/08)
 _____ b. Copies of IDS Citations
 13. _____ **Preliminary Amendment**
 14. **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
 15. _____ Certified Copy of Priority Document(s) (if foreign priority is claimed)
 16. _____ **Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
 17A. _____ Claim for Foreign Priority
 17B. Other: Certificate of Express Mail with copy of itemized postcard.

 17C. Pursuant to 37 C.F.R. 1.136(a)(3), applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 C.F.R. 1.16 and 1.17, to Deposit Account No. 02-2666.

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title (e.g., by way of preliminary amendment), or in an Application Data Sheet Under 37 C.F.R. 1.76:

Continuation Divisional Continuation-in-part (CIP)
 Of Prior Application No.: _____ Examiner _____ Group Art Unit _____
 (which is a continuation/ divisional/ CIP of prior application no. _____,
 which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.
For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. Correspondence Address
 Customer Number or Bar Code Label 08791 (Insert Customer No. or Attach Bar Code Label here)
 or
 Correspondence Address Below.
 NAME Michael A. Bernadicou
 ADDRESS BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
12400 Wilshire Boulevard
Seventh Floor
 CITY Los Angeles STATE California ZIP CODE 90025
 Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-8383

Name (PRINT/TYPE): Michael A. Bernadicou Registration No.: 35,934
 Signature: Michael A. Bernadicou Date: February 17, 2004

FEE TRANSMITTAL FOR FY 2004

(FY 2004 Begins 10/01/2003)

TOTAL AMOUNT OF PAYMENT (\$) 1,244

Complete if Known:

Application No. Not yet assigned
 Filing Date Herewith (2/17/2004)
 First Named Inventor Andrew P. Nguyen
 Examiner Name Not yet assigned
 Art Unit Not yet assigned
 Attorney Docket No. 6601.P018

Applicant claims small entity status. See 37 CFR 1.27.

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order Other None

Deposit Account

Deposit Account Number : 02-2666

Deposit Account Name: _____

The Director is Authorized to do the following with respect to the above-identified Deposit Account:

Charge fee(s) indicated below.

Credit any overpayments.

Charge any additional fees during the pendency of this application.

Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

Charge fee(s) indicated below except for the filing fee.

FEE CALCULATION

1. BASIC FILING FEE

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
1001	770	2001	385
1002	340	2002	170
1003	530	2003	265
1004	770	2004	385
1005	160	2005	80
		Utility application filing fee	<u>770.00</u>
		Design application filing fee	_____
		Plant filing fee	_____
		Reissue filing fee	_____
		Provisional application filing fee	_____

SUBTOTAL (1) \$ 770.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims <u>32</u>	<u>- 20** = 12</u>	X <u>\$18.00</u>	<u>= 216.00</u>
Independent Claims <u>6</u>	<u>- 3** = 3</u>	X <u>\$86.00</u>	<u>= 258.00</u>
Multiple Dependent			<u>=</u>

**Or number previously paid, if greater; For Reissues, see below.

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>
Fee	Fee	Fee
Code	(\$)	Code
1202	18	2202 9
1201	86	2201 43
1203	290	2203 145
1204	86	2204 43
1205	18	2205 9
		Claims in excess of 20
		Independent claims in excess of 3
		Multiple dependent claim, if not paid
		**Reissue independent claims over original patent
		**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 474.00

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	
Code	Fee (\$)	Code (\$)	
1051	130	2051	Surcharge - late filing fee or oath
1052	50	2052	Surcharge - late provisional filing fee or cover sheet
1053	130	1053	Non-English specification
1812	2,520	1812	For filing a request for ex parte reexamination
1813	8,800	1813	Request for inter parties reexamination
1804	920*	1804	Requesting publication of SIR prior to Examiner action
1805	1,840*	1805	Requesting publication of SIR after Examiner action
1251	110	2251	Extension for reply within first month
1252	420	2252	Extension for reply within second month
1253	950	2253	Extension for reply within third month
1254	1,480	2254	Extension for reply within fourth month
1255	2,010	2255	Extension for reply within fifth month
1401	330	2401	Notice of Appeal
1402	330	2402	Filing a brief in support of an appeal
1403	290	2403	Request for oral hearing
1451	1,510	1451	Petition to institute a public use proceeding
1452	110	2452	Petition to revive – unavoidable
1453	1,330	2453	Petition to revive - unintentional
1501	1,330	2501	Utility issue fee (or reissue)
1502	480	2502	Design issue fee
1503	640	2503	Plant issue fee
1460	130	1460	Petitions to the Commissioner
1807	50	1807	Processing fee under 37 CFR 1.17(q)
1806	180	1806	Submission of Information Disclosure Stmt
8021	40	8021	Recording each patent assignment per property (times number of properties)
1809	770	2809	For filing a submission after final rejection (see 37 CFR 1.129(a))
1814	110	2814	Statutory Disclaimer
1810	770	2810	For each additional invention to be examined (see 37 CFR 1.129(b))
1801	770	2801	Request for Continued Examination (RCE)
1802	900	1802	Request for expedited examination of a design application
1504	300	1504	Publication fee for early, voluntary, or normal pub.
1505	300	1505	Publication fee for republication
1803	130	1803	Request for voluntary publication or republication
1808	130	1808	Processing fee under 37 CFR 1.17(i) (except provisionals)
1454	1,330	1454	Acceptance of unintentionally delayed claim for priority

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Michael A. Bernadicou

Signature:  Date: February 17, 2004

Reg. Number: 35,934 Telephone Number: (408) 720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Application No.: Not yet assigned Filing/Issue Date: Herewith Docket No.: 6601.P018
Date Mailed: 02/17/2004 Due Date(s): N/A N/A
Client: ASML Atty/Sec: MAB/MAK/den

Title: A LIQUID TRAP FOR A VACUUM LINE

First Named Inventor: Andrew P. Nguyen

The following has been received in the U.S.P.T.O. on the date stamped hereon:

- | | |
|--|---|
| <input checked="" type="checkbox"/> Express Mail No. EV 409 362 630 US | <input type="checkbox"/> Amendment _____ pgs.) |
| <input checked="" type="checkbox"/> Transmittal Letter | <input type="checkbox"/> Application amended to Reflect Claim of Priority |
| <input checked="" type="checkbox"/> Fee Transmittal (original & copy) | <input checked="" type="checkbox"/> Declaration & POA (<u>4</u> pgs.) unsigned |
| <input type="checkbox"/> Nonpublication Request | <input type="checkbox"/> Assignment & Cover Sheet (____ pgs.) |
| <input type="checkbox"/> Petition for Extension of Time: | <input type="checkbox"/> Information Disclosure Statement & PTO/SB/08 |
| <input checked="" type="checkbox"/> Application Type: Utility
(<u>23</u> pages w/cover & abstract) | (____ pgs.) (previously 1449) |
| <input type="checkbox"/> Transmittal of Formal Drawings | <input checked="" type="checkbox"/> Check No. <u>62970</u> Amount \$ <u>1244.00</u> |
| <input checked="" type="checkbox"/> Drawings: (<u>5</u>) sheets, (<u>5</u>) figures | <input type="checkbox"/> Check No. _____ Amount \$ _____ |
| <input checked="" type="checkbox"/> Postcard | |
- Other: Certificate of Express Mail with copy of postcard.

Certificate of Express Mail

"Express Mail" mailing label number: EV 409 362 630 US

Date of Deposit: February 17, 2004

I hereby certify that I am causing this paper or fee, and the documents indicated on the postcard above, to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to: MS: Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450

Dianne Neathery

(Typed or printed name of person mailing paper or fee)

Dianne Neathery 2-17-04
(Signature of person mailing paper or fee) (Date)

Attorney Docket: 6601.P018